

# Direct Transfer-in

## Part I - Annuitant/Holder Request

(name) \_\_\_\_\_ (social insurance number) \_\_\_\_\_ (phone number) \_\_\_\_\_

(address) \_\_\_\_\_

**PLEASE TRANSFER:**  all the property OR  lump sum of \$ \_\_\_\_\_  in cash OR  in kind

**FROM:**  RRSP  Spousal RRSP  LIRA/Locked-in RRSP  RLSP  RPP  DPSP  Retiring Allowance  
 RRIF  Spousal RRIF  LIF  LRIF  Prescribed RRIF  RLIF  TFSA

(transferor institution name) \_\_\_\_\_

(transferor institution address and phone number) \_\_\_\_\_

(contract or plan number) \_\_\_\_\_ (deposit number) \_\_\_\_\_ (maturity date, if applicable dd/mmm/yyyy) \_\_\_\_\_

**TO:**  RRSP  Spousal RRSP  LIRA/Locked-in RRSP  RLSP  TFSA  
 RRIF  Spousal RRIF  LIF  LRIF  Prescribed RRIF  RLIF

\_\_\_\_\_ at \_\_\_\_\_  
 (contract number) (receiving institution name)

\_\_\_\_\_ (receiving institution address and phone number)

Enter specimen plan: \_\_\_\_\_  
 (Trustee: Concentra Trust) (specimen plan name and number)

If from RPP/DPSP:  I am the member OR  the beneficiary spouse\* OR  former spouse\* due to breakdown of marriage or common-law partnership  
 \* or other individual who has been given similar rights under applicable legislation

**Where I have requested a transfer in cash, I authorize the liquidation of all or part of my investments.  
 I agree to pay any applicable fees, charges or adjustments.**

\_\_\_\_\_  
**Date**  
 (dd/mmm/yyyy)

\_\_\_\_\_  
**Signature of Annuitant/Holder**  
 OR  see attached letter

\_\_\_\_\_  
**Signature Guaranteed**  
 (authorized transferee signature)

## Part II - Transferor Institution (Return the completed form with cheque and any attachments.)

Amount transferred: \$ \_\_\_\_\_ (transferor to issue T4RIF for transfers from RRIF to RRSP or T4 for retiring allowance transfer)

Spousal contributions:  No  Yes Contributor name: \_\_\_\_\_ SIN: \_\_\_\_\_

Locked-in funds:  No  Yes (if yes, complete pension information below)

Retiring Allowance: Amount to be reported in Box 66 (eligible retiring allowances) of the employee's T4 slip. \$ \_\_\_\_\_

From RRIF: The transfer is from a Qualifying Pre-1993 RRIF.  No  Yes

From RPP: We did not transfer \$ \_\_\_\_\_ of the amount in accordance with subsections 147.3(1) to (8) and we will report this amount as income of the applicant on a T4A slip.

### Complete if Amounts are Locked-in Under Pension Legislation:

Locked-in pension amount \$ \_\_\_\_\_

Pension Jurisdiction (provincial or federal act): \_\_\_\_\_

Have funds been held in a LIF/LRIF/RLIF/LITB Account at any time during year of transfer?  No  Yes

If transfer to:

**AB or BC LIF:** What is the annual maximum payment for the year (LIF/LITB Account to LIF)? \$ \_\_\_\_\_  
 What amount of the annual maximum has been received by the annuitant (LIF/LITB Account to LIF)? \$ \_\_\_\_\_

**MB LIF:** What is the annual maximum payment for the year (LIF/VBA to LIF)? \$ \_\_\_\_\_  
 What amount of the annual maximum has been received by the annuitant (LIF/VBA to LIF)? \$ \_\_\_\_\_

**MB LIRA/LIF:** The annuitant  has OR  has not made a one-time transfer with our organization or that we are aware of.

**NL LIF:** What amount has been paid to annuitant in year of transfer (LIF/LRIF to LIF)? \$ \_\_\_\_\_  
 If amount paid includes temporary income, specify amount: \$ \_\_\_\_\_

**NL LRIF:** What is income earned on LIF transferred amounts during year prior to year of transfer? \$ \_\_\_\_\_

**ON Schedule 1.1 LIF:** What is income earned on Schedule 1 LIF, Schedule 1.1 LIF or LRIF transferred amounts during year of transfer? \$ \_\_\_\_\_

### Additional Information:

- Name of employer: \_\_\_\_\_
- Original RPP name: \_\_\_\_\_
- Retirement age specified under RPP: \_\_\_\_\_ (normal) \_\_\_\_\_ (early)
- Annuity rate breakdown: \_\_\_\_\_ (unisex) \$ \_\_\_\_\_ (sex distinct)

\_\_\_\_\_  
 (date dd/mmm/yyyy)

\_\_\_\_\_  
 (authorized signature of transferor institution)

\_\_\_\_\_  
 (contact phone number)